

ANCORA

PROFESSIONAL PROFILE

ANCORA

ONE CHAGRIN HIGHLANDS
2000 AUBURN DRIVE, SUITE 300
CLEVELAND, OH 44122

V 216 825 4000

F 216 825 4001



CHRISTOPHER R. BARONE

PRESIDENT, ANCORA SECURITIES

CHRISTOPHER R. BARONE is the President of Ancora's FINRA registered broker dealer, Ancora Securities.

During his career in the Investment Industry, Mr. Barone has held several leadership positions in the areas of broker dealer supervision, systems management, and advisor operations. As First Vice President of the Maxus Investment Group, Mr. Barone's primary duty was to align the organization's systems and back office trading operations with those of key business partners. Under his direction, Maxus developed portfolio accounting and invoicing systems; proprietary and customizable reporting solutions for customers, and a first class computing infrastructure to serve the technology needs of the company and its affiliates.

In 1997 he successfully merged the investment advisor operations and technology platforms of Gelfand-Maxus Asset Management. And by the end of the decade, he had introduced on-line software and products to serve the informational needs of money managers, relationship advisors, and their customers.

Mr. Barone and his team at Ancora Securities effectively serve the operational, trading, and clearing needs of Ancora Advisors as well as the needs of other prominent institutional customers. With a focus on personalized service, Mr. Barone emphasizes the use of technology, the effectiveness of his client support team, and a strong alignment with its clearing firm, Pershing LLC, a division of Bank of New York.

Mr. Barone is a 1989 Honors Graduate of Boston University with a Bachelors of Arts Degree in Economics. He holds several NASD licenses and continues to serve in the broker dealer's regulatory oversight capacity.